

#### UNITED STATES MARINE CORPS MARINE CORPS BASE QUANTICO, VIRGINIA 22134-5001

Canc: Jun 04

MCBBul 12430

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### MARINE CORPS BASE BULLETIN 12430

From: Commanding General To: Distribution List

Subj: FY 03 PERFORMANCE-BASED AWARDS

Ref: (a) MCO 12430.2

(b) HROM ltr 12430/ARHQ of 10 Jun 03

Encl: (1) Format for Award Nominations

- 1. <u>Purpose</u>. To provide criteria for granting performance-based awards to MCCDC/MCB civilian employees for the 2002-03 performance appraisal period.
- 2. <u>Information</u>. The references provide overall policy and procedural guidance for completing performance appraisals and recognizing performance of civilian employees. The following additional restrictions apply with respect to MCCDC/MCB civilian employees, including employees who are paid with reimbursable funds for the 2002-03 appraisal period. Bargaining obligations have been fulfilled with respect to the restrictions in this Bulletin.

#### a. Cash Award

- (1) Employee must be rated Acceptable and performance appraisal must reflect a level of performance significantly above that which is expected at the Acceptable level on a regular or continuing basis.
- (2) Employee must have been in same position at same grade for 6 months as of the end of the appraisal period.
- (3) Division directors may request a waiver to the policy specified in paragraph 2a(2). Address waiver requests to the Civilian Manpower Resources Review Board (CMRRB) in writing and fully explain the reasons why requesting a waiver. Waiver requests must reflect the length of time the employee has been in the position. All waiver requests must be submitted to the CMRRB no later than 8 August 2003 so that, if granted, the award can be included in the percent-age calculations addressed in paragraph 3b(3).

- (4) Chiefs of Staff/division directors are authorized to approve cash award recommendations. When the Chief of Staff (or division director, as applicable) does not approve a cash award, the recommending supervisor must be notified in a timely manner so that the supervisor can recommend or request an alternate award or waiver, as appropriate.
- (5) Final approval must be endorsed by the Chief of Staff when a division director serves as the rater or immediate supervisor.

# b. Quality Step Increase (QSI)

- (1) Employee must not have received a QSI within the prior 52-week period.
- (2) Employee must be rated Acceptable and appraisal must reflect sustained performance of a high quality significantly above that which is expected at the Acceptable level. The employee must have made a significant contribution to the organization's mission and there must be an expectation that the high quality performance will continue.
- (3) Chiefs of Staff/division directors are authorized approval authority for QSIs.
- (4) Final approval must be endorsed by the Chief of Staff when a division director serves as the rater or immediate supervisor.
- (5) Approving officials will carefully review all QSI recommendations to ensure they are awarded only to those employees whose performance: (a) has significantly exceeded that expected at the acceptable level; and (b) has materially contributed to the fulfillment of the organization's mission.

### c. Time Off Award

(1) Time off awards of 9 hours or less may be approved by an employee's immediate supervisor; time off awards of more than 9 hours must be approved by the chiefs of staff/division directors.

## d. Other Instructions

(1) Employees may not receive both a cash performance award and a QSI for the same appraisal period.

(2) Time off awards may be given alone, or in addition to, a cash award or QSI.

## 3. Procedures

a. <u>General</u>. Subordinate supervisors must use the format at the enclosure to submit individual award recommendations to the Chief of Staff (or division director, as applicable). The enclosure will be annotated by the approving officials to show approval/disapproval of the award recommended and returned to the recommending official. A copy of the award recommendation, showing the appropriate approvals, must be provided to Human Resources and Organizational Management (HROM) Branch for maintenance in the Employee Performance File.

### b. Cash Awards

- (1) Chiefs of Staff/division directors will submit a list of the names of employees (listed alphabetically) who have been approved to receive a cash award. This list must be forwarded to the HROM by 22 August 2003. The list will be annotated to reflect employees paid with reimbursable funds.
- (2) The HROM will verify that performance appraisals have been received and will promptly notify chiefs of staff/division directors of the names of employees recommended for an award for whom an appraisal has not been received. By 22 September 2003, the HROM will forward the list to the Director, Comptroller Division, and copy to Assistant Chief of Staff, G-1 for action. Chiefs of Staff/division directors must ensure that performance appraisals for employees listed have been submitted to HROM so that verification of eligibility for a cash award can be completed in a timely manner.
- (3) Upon receipt of the list, the Director, Comptroller Division will determine award amounts based on a percentage of the employee's rate of basic pay. The award percentage will be the same for all employees, and will range from 0% to 10% of the employee's rate of basic pay.
- (4) The Director, Comptroller Division, will provide HROM and the chiefs of staff/division directors the list of employees approved for cash awards, award amounts and the earliest effective date of the awards.
- (5) HROM will process all approved cash awards by submitting an electronic Request for Personnel Action (RPA) to the Human Resources Service Center-East (HRSC-E).

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- c. QSIs. Divisions will process QSIs by submitting an RPA directly to the HRSC-E, Modern inbox ESTRAWARDS. QSIs will be made effective not earlier than 5 October 2003. QSIs will not be processed by the HRSC-E unless a current performance appraisal has been completed and submitted to the HROM.
- d. <u>Time Off Awards</u>. Divisions will process time off awards by submitting an RPA directly to the HRSC-E, Modern inbox ESTRAWARDS. There is no set effective date for time off awards. Time off awards may be forwarded for processing to the HRSC-E as they are received.
- 4. Chiefs of Staff/division directors are responsible for prompt dissemination of this Bulletin to ensure rating officials are knowledgeable of the award process.

D. L. WRIGHT Chief of Staff

DISTRIBUTION: INTERNET

## FORMAT FOR AWARD NOMINATIONS

From To: Via:	App app	Recommending Official (Rating Official) Approving Official (Chief of Staff or Division Director, as applicable) (Branch Head or Equivalent)		
Subj	: AWA	ARD NOMINATION	Chiefs of Staff	
1.	I nominate for:			for:
	[ ] Ca	ash Award		
	[ ] Quality Step Increase (QSI)			
	[ ] Time Off Award of Hours			
with desc	the a	award recommend on of how the	mance/accomplishments warrant ded. For QSI recommendations employee significantly contril Attach additional sheets if	, include a buted to the
		recommended.	yee has met all eligibility ro	equirements for
	Signature of Recommending Official			Date
4.	Approv	vals:		
	[ ] D	pproved isapproved ther award ecommended:	Signature of Branch Head or Equivalent	Date
	[ ] D	pproved isapproved ther award oproved:	Signature of Division Head or Chief of Staff	 Date